

Partitions, quantum group actions and rigidity

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COMPACT MATRIX QUANTUM GROUPS

- Compact (orthogonal) Lie group $G \subset O_N(\mathbb{R})$
 $u_{ij} : G \rightarrow \mathbb{R}, g \mapsto g_{ij}$ coordinate function, $u := (u_{ij}) \in \mathbb{M}_N(C(G))$.
Group multiplication $\leftrightarrow \Delta(u_{ij})(g, h) := u_{ij}(gh) = \sum_k u_{ik}(g)u_{kj}(h)$.
- Woronowicz: (orthogonal) compact matrix quantum group
 $\mathbb{G} = (A, u)$ such that
 - $A = C^*(u_{ij} \mid i, j = 1, \dots, N)$
 - $u \in \mathbb{M}_N(A)$ such that $u_{ij} = u_{ij}^*, uu^T = u^T u = \text{id}$
 - *-homomorphism $\Delta : A \rightarrow A \otimes A, \Delta(u_{ij}) = \sum_k u_{ik} \otimes u_{kj}$

Write $A = C(\mathbb{G})$.

- Example: S.Z. Wang's free orthogonal quantum group $\mathbb{G} = O_N^+$
where $A = \text{universal } C^*(u_{ij} = u_{ij}^* \mid i, j = 1, \dots, N; uu^T = u^T u = \text{id})$

- $u^{\otimes k} := (u_{i_1 j_1} \cdots u_{i_k j_k}) \in \mathbb{M}_N^{\otimes k} \otimes A$ with intertwiners

$$\text{Mor}_{\mathbb{G}}(k, l) := \{T : (\mathbb{C}^N)^{\otimes k} \rightarrow (\mathbb{C}^N)^{\otimes l} \mid Tu^{\otimes k} = u^{\otimes l}T\}, \quad k, l \in \mathbb{N}$$

- A collection of vector spaces of operators

$$\mathcal{R}_{\mathbb{G}} := \cup_{k,l} \text{Mor}_{\mathbb{G}}(k, l) \subset \cup_{k,l} B((\mathbb{C}^N)^{\otimes k}, (\mathbb{C}^N)^{\otimes l})$$

- contains $\text{id}_{\mathbb{C}^N}$ and $1 \mapsto \sum_k e_k \otimes e_k$
- stable under $\circ, \otimes, *$

- **Tannaka-Krein reconstruction** (Woronowicz 88'):

$$\mathbb{G} \leftrightarrow \mathcal{R}_{\mathbb{G}} \quad \text{one-to-one correspondence}$$

PARTITIONS & QUANTUM GROUPS

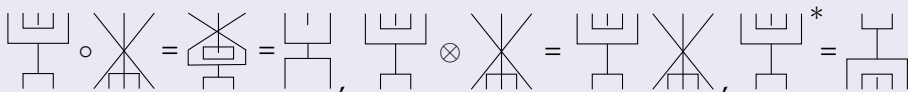
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Category of partitions

A collection of partitions of two lines of points, such that:

- contains $|$ and \sqcap
- stable under $\circ, \otimes, *$



PARTITIONS & QUANTUM GROUPS

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$$\begin{array}{l} \text{Cap} \circ \text{Cup} = \text{Box} \\ \text{Cap} \otimes \text{Cup} = \text{Box} \\ \text{Cap} \circ \text{Box} = \text{Box} \circ \text{Cup} \end{array}$$

- Banica: $\mathcal{R}_{O_N^+} \leftrightarrow$ category of all **noncrossing pair partitions**

PARTITIONS & QUANTUM GROUPS

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Diagrammatic equations for the category of partitions:

- $\text{cap} \circ \text{cup} = \text{box}$
- $\text{cap} \otimes \text{cup} = \text{box}$
- $\text{cap}^* = \text{cup}$

- Banica: $\mathcal{R}_{O_N^+} \leftrightarrow$ category of all **noncrossing pair partitions**
- Banica-Speicher:

any category of partitions $\rightarrow \mathcal{R}_{\mathbb{G}}$ for some \mathbb{G}

Such a \mathbb{G} is called an “easy quantum group”.

PARTITIONS & QUANTUM GROUPS

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GOAL for today: a dynamical version!

ACTIONS OF COMPACT MATRIX QUANTUM GROUPS

B unital C^* -algebra

An **action** of \mathbb{G} on B is an $*$ -homomorphism $\alpha : B \rightarrow B \otimes C(\mathbb{G})$ with

- (coaction property) $(\alpha \otimes \text{id}) \circ \alpha = (\text{id} \otimes \Delta) \circ \alpha$
- (nondegeneracy condition) $\overline{\text{span}}\{(1 \otimes C(G))\alpha(B)\} = B \otimes C(\mathbb{G})$

α is called **ergodic** if the fixed point space

$$B^{\mathbb{G}} := \{b \in B \mid \alpha(b) = b \otimes 1\} = \mathbb{C}1.$$

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Dual viewpoint: consider an **ergodic** α for the sequel.

Recall $u^{\otimes k} : (\mathbb{C}^N)^{\otimes k} \rightarrow (\mathbb{C}^N)^{\otimes k} \otimes C(\mathbb{G})$ & $\alpha : B \rightarrow B \otimes C(\mathbb{G})$

$\Rightarrow \mathbb{G} \curvearrowright (\mathbb{C}^N)^{\otimes k} \otimes B$ by

$$(u^{\otimes k})_{(13)}\alpha_{(23)} : (\mathbb{C}^N)^{\otimes k} \otimes B \rightarrow (\mathbb{C}^N)^{\otimes k} \otimes B \otimes C(\mathbb{G}).$$

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- The fixed point space $K_k := ((\mathbb{C}^N)^{\otimes k} \otimes B)^{\mathbb{G}}$ is a Hilbert space.
- $K_k = (\mathbb{C}^N)^{\otimes k}$ if $B = C(\mathbb{G})$ and $\alpha = \Delta$; $K_k \otimes K_l \subset K_{k+l}$.
- $T \in \text{Mor}_{\mathbb{G}}(k, l) \Rightarrow T \otimes \text{id} \in B(K_k, K_l)$.

TANNAKA-KREIN RECONSTRUCTION FOR ACTIONS

Recall Tannaka-Krein reconstruction for quantum groups

\Rightarrow recognize \mathbb{G} (in particular the action $\Delta : C(\mathbb{G}) \rightarrow C(\mathbb{G}) \otimes C(\mathbb{G})$) out of categorical data:

- $u^{\otimes k} \otimes u^{\otimes l} \cong u^{\otimes k+l} \rightarrow$ Hilbert spaces $((\mathbb{C}^N)^{\otimes k})_{k \in \mathbb{N}}$ with **unitary**
 $(\mathbb{C}^N)^{\otimes k} \otimes (\mathbb{C}^N)^{\otimes l} \cong (\mathbb{C}^N)^{\otimes k+l}$
- $T \in \text{Mor}_{\mathbb{G}}(k, l) \rightarrow T \in B((\mathbb{C}^N)^{\otimes k}, (\mathbb{C}^N)^{\otimes l})$, compatible with $\otimes, \circ, *$.

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- $T \in \text{Mor}_{\mathbb{G}}(k, l) \rightarrow T \in B((\mathbb{C}^N)^{\otimes k}, (\mathbb{C}^N)^{\otimes l})$, compatible with $\otimes, \circ, *$.

Theorem (Pinzari-Roberts 08', Neshveyev 14', Freslon-Taipe-W.)

Assume that we have

- Hilbert spaces $(K_k)_{k \in \mathbb{N}}$ with **isometric inclusions** $\iota : K_k \otimes K_l \hookrightarrow K_{k+l}$
- $T \in \text{Mor}_{\mathbb{G}}(k, l) \rightarrow \varphi(T) \in B(K_k, K_l)$, compatible with $\otimes, \circ, *, \iota$.

Then we may construct $\alpha : B \rightarrow B \otimes C(\mathbb{G})$ (with $K_k = ((\mathbb{C}^N)^{\otimes k} \otimes B)^{\mathbb{G}}$).

Main results: find such $(K_k)_{k \in \mathbb{N}}$ and φ out of partitions.

PARTITIONS & QUANTUM ACTIONS

Recall Banica-Speicher's approach:

- Partitions with operations $\circ, \otimes, *$

$$\text{cup} \circ \text{cap} = \text{cap} \circ \text{cup} = \text{cup}, \quad \text{cup} \otimes \text{cap} = \text{cup} \otimes \text{cap}, \quad \text{cup}^* = \text{cap}$$

- A category of partitions $\mathcal{C} \rightarrow$ easy quantum group $\mathbb{G} = \mathbb{G}_N(\mathcal{C})$

$\mathcal{C}(k, l) :=$ partitions of k upper points and l lower points in \mathcal{C}

Example (“module of projective partitions”)

If \mathcal{P} is a subset of **projective** partitions ($p = p^* = p^2$) such that

- (write $\mathcal{P}_k = \mathcal{P}(k, k)$) $\mathcal{P}_k \otimes \mathcal{P}_l \subset \mathcal{P}_{k+l}$;
- $r \in \mathcal{C}(k, l), p \in \mathcal{P}_k \Rightarrow rpr^* \in \mathcal{P}_l$.

$\Rightarrow (\mathcal{P}_k)_{k \in \mathbb{N}}$ has a pre-inner product, compatible with $\varphi(r) : p \mapsto rpr^*$

\Rightarrow an action of $\mathbb{G}_N(\mathcal{C})$.

PARTITIONS & QUANTUM ACTIONS

Example (“module of projective partitions over \mathcal{C} ”)

If \mathcal{P} is a subset of **projective** partitions ($p = p^* = p^2$) such that

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$$\Rightarrow (\mathcal{P}_k)_{k \in \mathbb{N}} \text{ has a pre-inner product, and } \varphi(r) : p \mapsto rpr^*$$
$$\Rightarrow \text{an action } \alpha \text{ of } \mathbb{G}_N(\mathcal{C}).$$

Remarks

- The assumption is satisfied if \mathcal{P} = all projective partitions in \mathcal{C} .
In particular, for \mathcal{C} = all noncrossing pair partitions ($\mathbb{G}_N(\mathcal{C}) = O_N^+$)

$$O_N^+ \curvearrowright C^*(x_i = x_i^* \mid \sum_i x_i^2 = 1, i = 1, \dots, N), \quad \alpha(x_i) = \sum_k x_k \otimes u_{ki}.$$

- $\mathcal{C}' \subset \mathcal{C} \Rightarrow \mathcal{P}$ module over $\mathcal{C}' \Rightarrow$ induced action $\text{Ind}_{\mathcal{G}_N(\mathcal{C})}^{\mathcal{G}_N(\mathcal{C}')}(\alpha)$.

Example (module of line partitions)

For $\mathcal{L} = \cup_k \mathcal{L}_k := \cup_k \mathcal{C}(0, k)$ and $\mathcal{C}' \subset \mathcal{C}$, we have

- $\mathcal{L}_k \otimes \mathcal{L}_l \subset \mathcal{L}_{k+l}$;
- $r \in \mathcal{C}'(k, l), p \in \mathcal{L}_k \Rightarrow rp \in \mathcal{L}_l$.

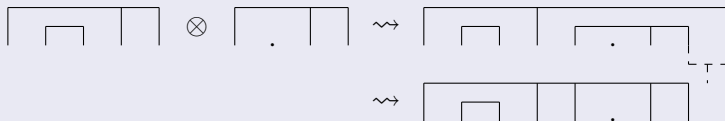
$\Rightarrow \alpha : \mathbb{G}_N(\mathcal{C}') \curvearrowright C(\mathbb{G}_N(\mathcal{C}) \setminus \mathbb{G}_N(\mathcal{C}'))$ translation action.

PARTITIONS & QUANTUM ACTIONS

Example (1-shifted line partitions)

For $\mathcal{C} \supset \{\text{noncrossing partitions}\}$, $\mathcal{C}' \subset \mathcal{C}$ and $\mathcal{L} = \cup_k \mathcal{L}_k := \cup_k \mathcal{C}(0, k+1)$,

- $\mathcal{L}_k \otimes \mathcal{L}_l \subset \mathcal{L}_{k+l}$



- $r \in \mathcal{C}'(k, l), p \in \mathcal{L}_k \Rightarrow rp \in \mathcal{L}_l$.

\Rightarrow For $\mathcal{C}' = \mathcal{C}$, $\alpha : \mathbb{G}_N(\mathcal{C}) \curvearrowright \mathbb{C}^N$, $\alpha(e_i) = \sum_k e_k \otimes u_{ki}$ permutation action;

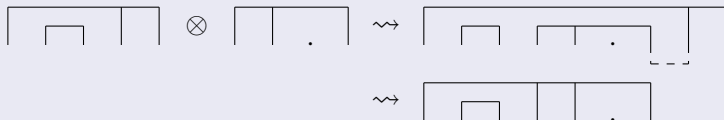
For $\mathcal{C}' \subset \mathcal{C}$, induced action $\text{Ind}_{\mathbb{G}_N(\mathcal{C})}^{\mathbb{G}_N(\mathcal{C}')}(\alpha)$.

PARTITIONS & QUANTUM ACTIONS

Example (2-shifted line partitions)

For $\mathcal{C}' \subset \mathcal{C}$ and $\mathcal{L} = \cup_k \mathcal{L}_k := \cup_k \mathcal{C}(0, k+2)$,

- $\mathcal{L}_k \otimes \mathcal{L}_l \subset \mathcal{L}_{k+l}$



- $r \in \mathcal{C}'(k, l), p \in \mathcal{L}_k \Rightarrow rp \in \mathcal{L}_l.$

\Rightarrow For $\mathcal{C}' = \mathcal{C}$, $\alpha : \mathbb{G}_N(\mathcal{C}) \curvearrowright \mathbb{M}_N(\mathbb{C})$, $\alpha(M) = u^*(M \otimes \text{id})u$;

For $\mathcal{C}' \subset \mathcal{C}$, induced action $\text{Ind}_{\mathbb{G}_N(\mathcal{C})}^{\mathbb{G}_N(\mathcal{C}')}(\alpha).$

FURTHER COMMENTS ON YETTER-DRINFELD...

- Yetter-Drinfeld structure: (imprecise idea)

$\mathbb{G} \curvearrowright^\alpha B \curvearrowright^\triangleleft \hat{\mathbb{G}}$ “compatibly”

For example, $B = C(\mathbb{G})$, $\alpha = \Delta : C(\mathbb{G}) \rightarrow C(\mathbb{G}) \otimes C(\mathbb{G})$,

$\triangleleft = \text{ad} : C(\mathbb{G}) \otimes C(\mathbb{G}) \rightarrow C(\mathbb{G})$, $a \triangleleft b = S(b_{(1)})ab_{(2)}$

- Freslon-Taipe-W.: Tannaka-Krein for Yetter-Drinfeld structures

$$a_k : ((\mathbb{C}^N)^{\otimes k} \otimes B \supset) K_k \rightarrow K_{k+2}, \quad \sum_r f_r \otimes x_r \mapsto \sum_{i,j,r} e_i \otimes f_r \otimes e_j \otimes (x_r \triangleleft u_{ji})$$

Categorical “compatibility” of $(a_k)_{k \in \mathbb{N}} \leftrightarrow$ Yetter-Drinfeld condition

Then an simple combinatorial analysis yields:

Proposition

The action $O_N^+ \curvearrowright C^(x_i = x_i^* \mid \sum_i x_i^2 = 1, 1 \leq i \leq N)$, $\alpha(x_i) = \sum_k x_k \otimes u_{ki}$ does not admit any Yetter-Drinfeld structure.*

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Categorical “compatibility” of $(a_k)_{k \in \mathbb{N}} \leftrightarrow$ Yetter-Drinfeld condition

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Proposition

The action $\mathbb{G}_N(\{\text{noncrossing partitions}\}) = S_N^+ \curvearrowright \mathbb{C}^N$, $\alpha(e_i) = \sum_k e_k \otimes u_{ki}$ does not admit any Yetter-Drinfeld structure.

PARTITIONS & RIGIDITY OF ACTIONS

- Goswami 11':
 - "there has not been any example of faithful action of a genuine compact quantum group on $C(X)$ when X is **connected**."
 - "conjecture that the quantum permutations are the only possible actions of genuine compact quantum groups on classical spaces".
- Huang 13':
 - construct faithful **non-ergodic** $S_N^+ \curvearrowright$ compact connected X
 - reformulated question: faithful **ergodic** genuine quantum group actions on compact connected spaces?
- Goswami-Joardar GAFA 18', Goswami Adv 20':
no genuine quantum actions on compact connected **smooth** manifolds.

Theorem (Freslon-Taipe-W.)

Free easy quantum groups $(\mathbb{G}_N(\mathcal{C}))$ for noncrossing \mathcal{C} , e.g., $O_N^+, S_N^+, H_N^+, \dots$) cannot act ergodically on a compact connected X unless X is a point.

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- multiplication in $C(X) \rightleftharpoons$ decomposition into irreducible components

$$(H_u \otimes C(X))^{\mathbb{G}} \otimes (H_{u'} \otimes C(X))^{\mathbb{G}} \subset \oplus_v (H_v \otimes C(X))^{\mathbb{G}}$$

- commutativity of $C(X) \rightleftharpoons$ symmetries in the decomposition

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 \Rightarrow existence of symmetric/antisymmetric vectors of $H_v \subset H_u \otimes H_u$
for $u, v \in \text{Irr}(\mathbb{G})$

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- enforce a nontrivial finite-dimensional C^* -subalgebra of $C(X)$.

Thank you very much!